

A formula for the Jones polynomial of symmetric unions

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Abstract. Symmetric unions are links obtained from a knot in the 3-space \mathbb{R}^3 by taking diagrams of the knot and its mirror image, which are symmetrically placed with respect to an axis in the 2-plane, and connecting them to obtain a diagram by inserting a 2-tangle with no twists and a finite number of 2-tangles with twists along the axis in the diagram. The Jones polynomial of a symmetric union has some interesting properties. In this paper, we give a formula of the Jones polynomial of a symmetric union.

1 Introduction

A *symmetric union* was first introduced by Kinoshita and Terasaka [4]. In recent years, Lamm [5] generalized the definition and investigated the relationship between a symmetric union and a ribbon knot. It is easy to see that every symmetric union represents a ribbon knot, on the other hand, the converse question is still open. In this paper, we give the following formula for the Jones polynomial [2] of a knot with a symmetric union presentation. Let $\bar{V}_L(t) = V_L(t)/(-t^{-1/2} - t^{1/2})^{c-1}$ for an oriented link L , where c is the number of the components of L and $V_L(t)$ is the Jones polynomial of L . (See Section 2 for the definition.)

Theorem 1.1. *Let \bar{K} be a knot with a symmetric union presentation $D_K \cup D_K^*(\infty, m_1, \dots, m_k)_1$. Then*

$$V_{\bar{K}}(t) = \sum_{(\ell_1, \dots, \ell_k)} \prod_{i=1}^k (-t^{-1})^{m_i p_i} (1 - (-t^{-1})^{m_i})^{q_i} \bar{V}_{D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w}(t),$$

where $\ell_i \in \{0, \infty\}$,

$$p_i = \begin{cases} 1 & (\ell_i = 0) \\ 0 & (\ell_i = \infty) \end{cases}$$

, $q_i = 1 - p_i$ and $w = \sum_{i=1}^k q_i + 1$ for each k -tuple of tangles (ℓ_1, \dots, ℓ_k) . The sum is taken over all combinations of k tangles 0's and ∞ 's to tangles ℓ_1, \dots, ℓ_k .

The following corollary generalizes a result of Lamm in the case when $s = 1$ in [5].

Corollary 1.2. *Let \bar{K} be a link with a symmetric union presentation $D_K \cup D_K^*(\infty, m_1, \dots, m_k)_1$ and s , a positive integer. If $m_i \equiv 0 \pmod{s}$ for each i , then $V_{\bar{K}}(-\exp(\frac{2\pi i}{s})) = V_K(-\exp(\frac{2\pi i}{s})) \cdot V_{K^*}(-\exp(\frac{2\pi i}{s}))$.*

A knot is called *amphicheiral*, if it is isotopic to its mirror image. By Theorem 1.1, we have the following as special cases.

Theorem 1.3. [7] *Let \bar{K} be a knot with a symmetric union presentation $D_K \cup D_K^*(\infty, m)_1$. Then $t^m V_{\bar{K}}(t) + (-1)^m V_{\bar{K}}(t^{-1}) = (t^m + (-1)^m) V_K(t) \cdot V_K(t^{-1})$. In particular, if \bar{K} is amphicheiral, then $V_{\bar{K}}(t) = V_K(t) V_K(t^{-1})$.*

In this paper, all knots and links are oriented unless otherwise stated. In Section 2, we give the definitions of the Jones polynomial of a link and a symmetric union. In Section 3, we shall prove Theorem 1.1, Corollary 1.2 and Theorem 1.3. In Section 4, we shall consider an amphicheiral symmetric union and the property.

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2 Definitions

Definition 2.1. Let K be a link in \mathbb{R}^3 . We denote a diagram of K by D_K . The *bracket polynomial* of a diagram of K [3][6], $\langle D_K \rangle$ can be defined as a polynomial which satisfies the following identities.

- i) $\langle \bigcirc \rangle = 1$,
- ii) $\langle D_K \cup \bigcirc \rangle = -(A^2 + A^{-2}) \langle D_K \rangle$,
- iii) $\langle \begin{array}{c} \diagup \\ \diagdown \end{array} \rangle = A \langle \begin{array}{c} \diagdown \\ \diagup \end{array} \rangle + A^{-1} \langle \begin{array}{c} \diagup \\ \diagup \end{array} \rangle \langle \begin{array}{c} \diagdown \\ \diagdown \end{array} \rangle$.

We define $V_{D_K}(t) \in \mathbb{Z}[t^{1/2}, t^{-1/2}]$ by $V_{D_K}(t) = \{(-A^3)^{-\delta(D_K)} \langle D_K \rangle\}_{t^{1/2}=A^{-2}}$ for any diagram D_K for K , where $\delta(D_K)$ is the writhe of D_K . It is shown that $V_{D_K}(t)$ is an invariant of K [3]. Then we denote $V_{D_K}(t)$ by $V_K(t)$ and call it the *Jones polynomial* of K .

Here we give the definition of a symmetric union as follows. (See [5] for the original definition.) We denote the tangle made of half twists by an integer $m \in \mathbb{Z}$ and the horizontal trivial tangle by ∞ as in Fig. 1.

Definition 2.2. Let D be an unoriented diagram of a knot and D^* the diagram D reflected at an axis in the plane. We replace the tangles $T_i = 0$ ($i = 0, \dots, k$) on the symmetry axis by either $T_i = \infty$ or $T_i = m_i \in \mathbb{Z}$ for each i , providing that at least one of T_i 's is replaced by ∞ as shown in Fig. 1. We call the result a *symmetric union* and denote it by $D \cup D^*(m_0, \dots, m_k)_\mu$, where μ is the number of ∞ -tangles on the axis.

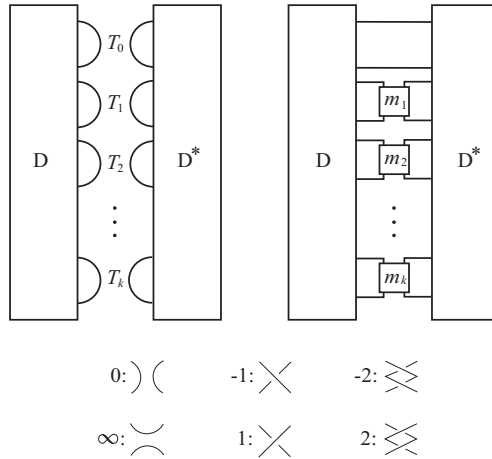


Figure 1: A symmetric union

If a link L has a diagram $D \cup D^*(m_0, \dots, m_k)_\mu$, then the diagram is called a *symmetric union presentation* for L . We say that a link L is a *symmetric union* if L has a symmetric union presentation.

3 A formula of the Jones polynomial

Let $T_m = \frac{(-A^{-3})^m - A^m}{-A^{-2} - A^2}$ and $S_m = A^m$ for an indeterminate A and a non-zero integer m .

Proof of Theorem 1.1. By using the identities of the bracket polynomial in Definition 2.1, we have
 $\langle D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 \rangle = A^{m_1/|m_1|} \langle D_K \cup D_K^*(\infty, m_1 - 1, m_2, \dots, m_k)_1 \rangle$
 $+ F_1 \langle D_K \cup D_K^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle = \dots = A^{\sum_{i=1}^{|m_1|} m_1/|m_1|} \langle D_K \cup D_K^*(\infty, 0, m_2, \dots, m_k)_1 \rangle$
 $+ (F_1 + \dots + F_{|m_1|}) \langle D_K \cup D_K^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle = A^{m_1} \langle D_K \cup D_K^*(\infty, 0, m_2, \dots, m_k)_1 \rangle$
 $+ (\sum_{j=1}^{|m_1|} F_j) \langle D_K \cup D_K^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle$, where each F_j is some polynomial.

Now we calculate a formula of $\sum_{j=1}^{|m_1|} F_j$ by considering the unknot instead of K as follows. We assume that D_K is a diagram as in Fig. 2 so that we have a symmetric union of the unknot. We denote the diagram in Fig. 2 by D_\circ .

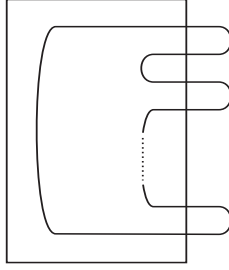


Figure 2: D_\circ

Then by calculating each term by using the identities in Definition 2.1, we have
 $\langle D_\circ \cup D_\circ^*(\infty, m_1, m_2, \dots, m_k)_1 \rangle = (-A^{-3m_1/|m_1|})^{|m_1|} \dots (-A^{-3m_k/|m_k|})^{|m_k|} (-A^{-2} - A^2)$
 $= (-1)^{\sum_{i=1}^k |m_i|} A^{-3 \sum_{i=1}^k m_i} (-A^{-2} - A^2),$
 $\langle D_\circ \cup D_\circ^*(\infty, 0, m_2, \dots, m_k)_1 \rangle = (-1)^{\sum_{i=2}^k |m_i|} A^{-3 \sum_{i=2}^k m_i} (-A^{-2} - A^2)$ and
 $\langle D_\circ \cup D_\circ^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle = (-1)^{\sum_{i=2}^k |m_i|} A^{-3 \sum_{i=2}^k m_i} (-A^{-2} - A^2)^2.$

Then we have

$$\begin{aligned} \sum_{j=1}^{|m_1|} F_j &= \frac{\langle D_\circ \cup D_\circ^*(\infty, m_1, m_2, \dots, m_k)_1 \rangle - \langle D_\circ \cup D_\circ^*(\infty, 0, m_2, \dots, m_k)_1 \rangle}{\langle D_\circ \cup D_\circ^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle} \\ &= \frac{(-1)^{\sum_{i=1}^k |m_i|} A^{-3 \sum_{i=1}^k m_i} (-A^{-2} - A^2) - (-1)^{\sum_{i=2}^k |m_i|} A^{-3 \sum_{i=2}^k m_i} (-A^{-2} - A^2)}{(-1)^{\sum_{i=2}^k |m_i|} A^{-3 \sum_{i=2}^k m_i} (-A^{-2} - A^2)^2} \\ &= \frac{(-1)^{|m_1|} A^{-3m_1} - A^{m_1}}{-A^{-2} - A^2} = \frac{(-A^{-3})^{m_1} - A^{m_1}}{-A^{-2} - A^2}. \end{aligned}$$

Thus

$$\begin{aligned} \langle D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 \rangle &= A^{m_1} \langle D_K \cup D_K^*(\infty, 0, m_2, \dots, m_k)_1 \rangle \\ &+ \frac{(-A^{-3})^{m_1} - A^{m_1}}{-A^{-2} - A^2} \langle D_K \cup D_K^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle \\ &= S_{m_1} \langle D_K \cup D_K^*(\infty, 0, m_2, \dots, m_k)_1 \rangle + T_{m_1} \langle D_K \cup D_K^*(\infty, \infty, m_2, \dots, m_k)_2 \rangle. \end{aligned}$$

By iterating the same argument, we have

$$\begin{aligned} \langle D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 \rangle &= S_{m_1} S_{m_2} \langle D_K \cup D_K^*(\infty, 0, 0, m_3, \dots, m_k)_1 \rangle \\ &+ S_{m_1} T_{m_2} \langle D_K \cup D_K^*(\infty, 0, \infty, m_3, \dots, m_k)_2 \rangle \\ &+ T_{m_1} S_{m_2} \langle D_K \cup D_K^*(\infty, \infty, 0, m_3, \dots, m_k)_2 \rangle \end{aligned}$$

$$+T_{m_1}T_{m_2} < D_K \cup D_K^*(\infty, \infty, \infty, m_3, \dots, m_k)_3 >.$$

Then by induction on k , we know that

$$\begin{aligned} < D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 > = \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k (S_{m_i}^{p_i(\ell_1, \dots, \ell_k)} T_{m_i}^{q_i(\infty, \ell_1, \dots, \ell_k)}) \times \\ < D_K \cup D_K^*(\infty, \ell_1, \ell_2, \dots, \ell_k)_w >, \text{ where } \ell_i \in \{0, \infty\}, p_i(\ell_1, \dots, \ell_k) = 1 \text{ or } 0 \text{ if } \ell_i = 0 \text{ or } \infty \text{ respectively,} \\ q_i(\ell_1, \dots, \ell_k) = 1 - p_i(\ell_1, \dots, \ell_k) \text{ and } w = \sum_{i=1}^k q_i(\ell_1, \dots, \ell_k) + 1. \end{aligned}$$

Now we obtain that

$$\begin{aligned} V_{D_K \cup D_K^*(\infty, m_1, \dots, m_k)_1}(A) &= (-A)^{-3 \sum_{i=1}^k (-m_i)} < D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 > \\ &= (-A^3)^{\sum_{i=1}^k m_i} < D_K \cup D_K^*(\infty, m_1, m_2, \dots, m_k)_1 > = (-A^3)^{\sum_{i=1}^k m_i} \times \\ &\quad \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k A^{m_i p_i(\ell_1, \dots, \ell_k)} \left(\frac{(-A^{-3})^{m_i} - A^{m_i}}{-A^{-2} - A^2} \right)^{q_i(\ell_1, \dots, \ell_k)} < D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w > \\ &= \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k (-1)^{m_i p_i(\ell_1, \dots, \ell_k)} A^{4m_i p_i(\ell_1, \dots, \ell_k)} \left(\frac{1 - (-1)^{m_i} A^{4m_i}}{-A^{-2} - A^2} \right)^{q_i(\ell_1, \dots, \ell_k)} < D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w >. \end{aligned}$$

Using $t^{1/2} = A^{-2}$, we have

$$\begin{aligned} V_{D_K \cup D_K^*(\infty, m_1, \dots, m_k)_1}(t) &= \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k (-1)^{m_i p_i(\ell_1, \dots, \ell_k)} t^{-m_i p_i(\ell_1, \dots, \ell_k)} \left(\frac{1 - (-1)^{m_i} t^{-m_i}}{-t^{1/2} - t^{-1/2}} \right)^{q_i(\ell_1, \dots, \ell_k)} V_{D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w}(t) \\ &= \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k (-t^{-1})^{m_i p_i(\ell_1, \dots, \ell_k)} \left(\frac{1 - (-1)^{m_i} t^{-m_i}}{-t^{1/2} - t^{-1/2}} \right)^{q_i(\ell_1, \dots, \ell_k)} V_{D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w}(t). \end{aligned}$$

Therefore we obtain

$$V_{D_K \cup D_K^*(\infty, m_1, \dots, m_k)_1}(t) = \sum_{(\ell_1, \dots, \ell_k)} \Pi_{i=1}^k (-t^{-1})^{m_i p_i(\ell_1, \dots, \ell_k)} (1 - (-1)^{m_i} t^{-m_i})^{q_i(\ell_1, \dots, \ell_k)} \bar{V}_{D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w}(t).$$

Remark 3.1. By a result of Eisermann ([1], Theorem 1), we know that $\bar{V}_{D_K \cup D_K^*(\infty, \ell_1, \dots, \ell_k)_w}(t)$ in the statement of Theorem 1.1 is always a Laurent polynomial. The integers p_i , q_i and w depend on k -tuple of tangles (ℓ_1, \dots, ℓ_k) , however we abbreviate the integers for simplicity in the statement of Theorem 1.1.

Proof of Corollary 1.2. By Theorem 1.1, we have $V_{\bar{K}}(-\exp(\frac{2\pi i}{s})) = \bar{V}_{D_K \cup D_K^*(\infty, 0, \dots, 0)_1}(-\exp(\frac{2\pi i}{s})) = V_K(-\exp(\frac{2\pi i}{s})) \cdot V_{K^*}(-\exp(\frac{2\pi i}{s}))$.

Proof of Theorem 1.3. In the case when $k = 1$ in Theorem 1.1, we have the formula as follows.

$$V_{\bar{K}}(t) = (-1)^m t^{-m} \bar{V}_{D_K \cup D_K^*(\infty, 0)_1}(t) + (1 - (-1)^m t^{-m}) \bar{V}_{D_K \cup D_K^*(\infty, \infty)_2}(t).$$

Then the first part of the theorem is obtained as follows.

$$\begin{aligned} t^m V_{\bar{K}}(t) + (-1)^m V_{\bar{K}}(t^{-1}) &= \{(-1)^m \bar{V}_{D_K \cup D_K^*(\infty, 0)_1}(t) + (t^m - (-1)^m) \bar{V}_{D_K \cup D_K^*(\infty, \infty)_2}(t)\} + \\ &\quad \{t^m \bar{V}_{D_K \cup D_K^*(\infty, 0)_1}(t) + ((-1)^m - t^m) \bar{V}_{D_K \cup D_K^*(\infty, \infty)_2}(t)\} \\ &= (t^m + (-1)^m) \bar{V}_{D_K \cup D_K^*(\infty, 0)_1}(t) = (t^m + (-1)^m) V_K(t) V_K(t^{-1}). \end{aligned}$$

The latter part of the theorem follows immediately from the first part because $V_{\bar{K}}(t) = V_{\bar{K}}(t^{-1})$ if \bar{K} is amphicheiral [6].

4 Examples

For a positive integer m , let K_m be a knot with the symmetric union presentation as described in Fig. 3. It is easily seen that K_m is an amphicheiral knot for each m .

If $m = 1$, then the Jones polynomial of K_m is calculated by Theorem 1.1 as follows.

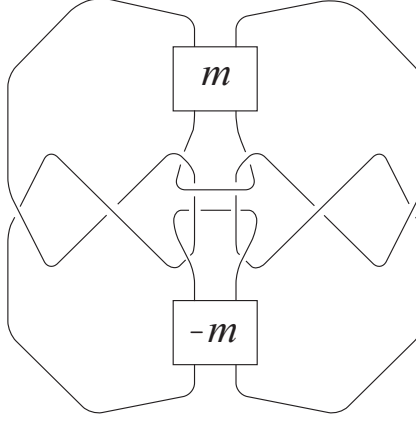


Figure 3: K_m

$$V_{K_1}(t) = -t^{-5} + 3t^{-4} - 7t^{-3} + 10t^{-2} - 12t^{-1} + 15 - 12t + 10t^2 - 7t^3 + 3t^4 - t^5.$$

By a calculation in [7], we know that $V_t(K_m)$ cannot have a form $f(t)f(t^{-1})$ for any Laurent polynomial $f(t) \in \mathbb{Z}[t, t^{-1}]$. Thus, by Theorem 1.3, we know that K_1 cannot have a symmetric union presentation $D_K \cup D_K^*(\infty, m)_1$. The Jones polynomials of K_2 and K_3 are calculated as follows.

$$V_{K_2}(t) = t^{-7} - 2t^{-6} + 2t^{-5} + t^{-4} - 7t^{-3} + 12t^{-2} - 16t^{-1} + 19 - 16t + 12t^2 - 7t^3 + t^4 + 2t^5 - 2t^6 + t^7$$

$$V_{K_3}(t) = -t^{-8} + 2t^{-7} - 3t^{-6} + t^{-5} + 5t^{-4} - 14t^{-3} + 24t^{-2} - 31t^{-1} + 35 - 31t + 24t^2 - 14t^3 + 5t^4 + t^5 - 3t^6 + 2t^7 - t^8$$

By using Mathematica [8], we know that $V_{K_2}(t)$ and $V_{K_3}(t)$ are irreducible. In particular, they cannot have a form $f(t)f(t^{-1})$ for any Laurent polynomial $f(t)$.

Question. Does $V_{K_m}(t)$ have a form $f(t)f(t^{-1})$ for some Laurent polynomial $f(t) \in \mathbb{Z}[t, t^{-1}]$ for each $m > 1$?

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