

Signed Gordian distances, the Jones polynomial and Rasmussen invariant of knots

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ABSTRACT

We define signed Gordian distances and give criteria for the Gordian distance for knots by using the Jones polynomial, the Q-polynomial and the Rasmussen invariant of a knot. As a result, we have new values of the Gordian distance with small crossing number.

Keywords: Knot; Gordian distance; Jones polynomial

1. INTRODUCTION

A *link* is a closed oriented 1-manifold embedded smoothly in the 3-sphere \mathbb{S}^3 . A *knot* is a link with one connected component. A diagram of a link is a generic projection of a link to the 2-sphere in \mathbb{S}^3 with signed double points, called *positive* (or *negative*) *crossings* as in Figure 1. Let K and K' be two knots in \mathbb{S}^3 . The Gordian distance from K to K' , denoted by $d_G(K, K')$, is the minimum number of crossing changes needed to transform a diagram of K into that of K' , where the minimum is taken over all diagrams of K and K' . A *positive crossing change* (or *negative crossing change*) of a crossing is changing a positive (or a negative) crossing of a diagram to a

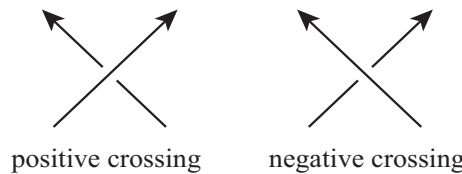


FIGURE 1

negative (or a positive) crossing. We define $d_{+-}(K, K')$ (or $d_{-+}(K, K')$) as the minimum number of positive (or negative) crossing changes needed to transform a diagram of K into that of K' by $d_G(K, K')$ crossing changes, where the minimum is taken over all diagrams of K and K' . (See [15] in the case when K' is a trivial knot.)

The Jones polynomial V is a Laurent polynomial in one variable t of a link can be defined by the following relation.

- (1) $V(\bigcirc; t) = 1$;
- (2) $t^{-1}V(L_+; t) - tV(L_-; t) = -(t^{-1/2} - t^{1/2})V(L_0; t)$.

Here L_+ , L_- and L_0 are three links with diagrams differing only near a crossing as in Figure 2.

The Jones polynomial can be calculated from the *Kauffman bracket* $\langle \rangle$ [6]. Let D be an unoriented diagram of a link. Then the Laurent polynomial in one variable A is defined by the following relation:

- (1) $\langle \bigcirc \rangle = 1$,
- (2) $\langle \bigcirc \cup D \rangle = -(A^2 + A^{-2})\langle D \rangle$,
- (3) $\langle \left\langle \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} \right\rangle \rangle = A \langle \left\langle \begin{array}{c} \diagup \diagdown \\ \diagup \diagdown \end{array} \right\rangle \rangle + A^{-1} \langle \left\langle \begin{array}{c} \diagdown \diagup \\ \diagdown \diagup \end{array} \right\rangle \rangle$,

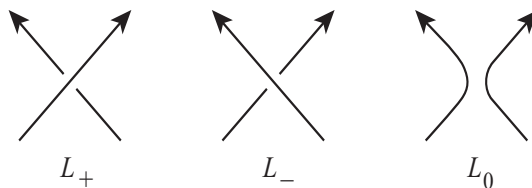


FIGURE 2

Then the Jones polynomial is obtained as follows:

$$V(L; t) = (-t^{-3/4})^{-w(D)} \langle D \rangle_{A=t^{-1/4}}, \text{ where } w(D) \text{ is the writhe of } D.$$

Let $\omega = e^{\pi\sqrt{-1}/3}$ and $\delta = \frac{\sqrt{5}-1}{2}$. We need the following theorem.

Theorem 1.1. ([7]) *For any link K , we have $V(K; \omega) = \pm(\sqrt{-3})^d i^{c(K)-1}$ for some non-negative integer d , where $c(K)$ denotes the number of components of K .*

In this paper, we show the following.

Theorem 1.2. *Let K and K' be two knots in S^3 . Suppose that K' is obtained from K by a positive crossing change.*

Let $f(t) = \frac{tV(K'; t) - V(K; t)}{t-1}$. Then $f(\omega) = \pm\omega^{f'(1)}(\sqrt{-3})^d$ for some non-negative integer d .

Let K and K' two be knots in S^3 . By Theorem 1.1, we may assume that $V(K; \omega) = (-1)^{t_1}(\sqrt{-3})^{d_1}$ and $V(K'; \omega) = (-1)^{t_2}(\sqrt{-3})^{d_2}$ for some non-negative integers t_1, t_2, d_1 and d_2 . Then we have the following theorem which generalizes a result of Traczyk [15].

Theorem 1.3. *If $d_G(K, K') = d_1 - d_2 \geq 1$, then $d_{-+}(K, K') \equiv t_1 - t_2 \pmod{2}$.*

The Q polynomial $Q(K; z)$ of a link K [1][4] is a Laurent polynomial in one variable z can be defined by the following.

(1) $Q(\bigcirc; z) = 1;$

(2) $Q\left(\begin{array}{c} \diagup \\ \diagdown \end{array}; z\right) + Q\left(\begin{array}{c} \diagdown \\ \diagup \end{array}; z\right) = z\left[Q\left(\begin{array}{c} \diagup \\ \diagup \end{array}; z\right) + Q\left(\begin{array}{c} \diagdown \\ \diagdown \end{array}; z\right)\right].$

The folloing theorem is a generalization of a result of Stoimenow [13].

Theorem 1.4. *Let K and K' be knots in S^3 . If $Q(K; \delta)/Q(K'; \delta) = -(-\sqrt{5})^k$, then $d_G(K, K') > |k|$.*

Two links are *concordant* if there is a smooth embedding

$$(nS^1) \times [0, 1] \rightarrow S^3 \times [0, 1]$$

which restricts to the given links

$$(nS^1) \times \{i\} \rightarrow S^3 \times \{i\}$$

where $i = 0, 1$. The set of concordance classes of knots forms an abelian group under connected sum. The group is called the *knot concordance group*.

Rasmussen has defined a concordance invariant $s(K)$ of a knot K from Lee's cohomology [10]. We call the invariant the *Rasmussen invariant*. Main properties of Rasmussen invariant are summarized as follows.

Theorem 1.5. *Let K, K_1 and K_2 be three knots in S^3 . Then we have the following.*

- (1) *The Rasmussen invariant s induces a homomorphism from the knot concordance group to \mathbb{Z} ;*
- (2) *$|s(K)| \leq 2g_4(K)$, where $g_4(K)$ is the slice genus of K ;*
- (3) *If K is alternating, then $s(K) = \sigma(K)$, where $\sigma(K)$ is the classical knot signature of K ;*
- (4) *If K_2 is obtained from K_1 by performing a single positive crossing change, then $s(K_1) - s(K_2) \in \{0, 2\}$.*

See [9] for $\sigma(K)$. We have the following by making use of this theorem.

Theorem 1.6. *Let K and K' be two knots in S^3 . Let $s(K, K') = \frac{s(K) - s(K')}{2}$. Then $d_{+-}(K, K') \geq s(K, K')$ and $d_{-+}(K, K') \geq -s(K, K')$. In particular, $d_G(K, K') \geq |s(K, K')|$.*

2. PROOFS

Proof of Theorem 1.2. By a hypothesis, we may consider that a diagram D' of K' is obtained from a diagram D of K by a single positive crossing change. We may assume D to have zero writhe adding kinks if necessarily. Note that


$V(K; A^{-4}) = \langle D \rangle$ and $A^{-6}V(K; A^{-4}) = \langle D' \rangle$ since $w(D') + 2 = w(D) = 0$. By using the Kauffman bracket relation, we have

$$(1) \ A \left\langle \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right\rangle + A^{-1} \left\langle \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right\rangle = V(K; A^{-4}),$$

$$(2) \ A^{-1} \left\langle \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right\rangle + A \left\langle \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right\rangle = A^{-6}V(K'; A^{-4}).$$

Thus $(A^2 - A^{-2}) \left\langle \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right\rangle = A^{-5}V(K'; A^{-4}) - A^{-1}V(K; A^{-4})$.

$$\begin{aligned} \text{Then we obtain } \left\langle \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right\rangle &= \frac{A^{-3}[A^{-2}V(K'; A^{-4}) - A^2V(K; A^{-4})]}{A^2 - A^{-2}} = \\ &= -A^{-3} \left[\frac{A^{-4}V(K'; A^{-4}) - V(K; A^{-4})}{A^{-4} - 1} \right] = -A^{-3} \left[\frac{tV(K'; t) - V(K; t)}{t - 1} \right]. \end{aligned}$$

Let $f(t) = \frac{tV(K'; t) - V(K; t)}{t - 1}$. Note that $f(t) = \frac{t(V(K'; t) - 1)}{t - 1} - \frac{V(K; t) - 1}{t - 1} + 1$. By a result in ([5], §12), $V(K; t) - 1$, $V(K'; t) - 1$ and $V(\tilde{K}; t) - 1$ have $(t - 1)(t^3 - 1)$ as factors. Then we know that there exists a knot \tilde{K} with the diagram  such that $V(\tilde{K}; t) = t^n f(t)$ for some integer n . Here we have $V'(\tilde{K}; t) = nt^{n-1}f(t) + t^n f'(t)$. By substituting 1, we have $V'(\tilde{K}; 1) = nf(1) + f'(1)$. Thus $f(1) = 1$ and $V'(\tilde{K}; 1) = 0$. Therefore $n = -f'(1)$, and hence we have $V(\tilde{K}, t) = t^{-f'(1)}f(t)$. By Theorem 1.1, we know that $V(\tilde{K}; \omega) = \omega^{-f'(1)}f(\omega) = \pm(\sqrt{-3})^d$ for some non-negative integer d .

Proof of Theorem 1.3. By an assumption that $d_G(K, K') = d_1 - d_2$, we have a sequence of $d_1 - d_2 + 1$ knots $K = K_{d_1-d_2}, K_{d_1-d_2-1}, \dots, K_1, K_0 = K'$ such that $K = K_{d_1-d_2}$, $K_0 = K'$ and $d_G(K_j, K_{j-1}) = 1$ ($1 \leq j \leq d_1 - d_2$). By Theorem 1.1, we may assume that $V(K_i; \omega) = (-1)^{s_i}(\sqrt{-3})^{\ell_i}$ for some integers s_i and ℓ_i ($0 \leq i \leq d_1 - d_2$), where $\ell_{d_1-d_2} = d_1$, $\ell_0 = d_2$, $s_{d_1-d_2} = t_1$ and $s_0 = t_2$. First we show that $\ell_j - \ell_{j-1} = 1$ ($1 \leq j \leq d_1 - d_2$) as follows.

Let $\ell_j - \ell_{j-1} = n_j$ ($1 \leq j \leq d_1 - d_2$) and suppose that $n_j \geq 2$ for some j . In the case when K_{j-1} is obtained from K_j by a positive crossing change, by substituting ω for t in the second relation of the definition of the Jones polynomial, we have

$$\begin{aligned}
 \omega^{-1}V(K_j; \omega) - \omega V(K_{j-1}; \omega) &= e^{-\frac{\pi i}{3}}((-1)^{s_j}(\sqrt{-3})^{\ell_{j-1}+n_j}) - e^{\frac{\pi i}{3}}((-1)^{s_{j-1}}(\sqrt{-3})^{\ell_{j-1}}) \\
 &= (\sqrt{3}i)^{\ell_{j-1}}((-1)^{s_j}(\sqrt{3}i)^{n_j} \frac{1-\sqrt{3}i}{2} - (-1)^{s_{j-1}} \frac{1+\sqrt{3}i}{2}) \\
 &= (\sqrt{3}i)^{\ell_{j-1}} \left\{ \frac{(-1)^{s_j}(\sqrt{3}i)^{n_j} - (-1)^{s_j}(\sqrt{3}i)^{n_j+1} - (-1)^{s_{j-1}} - (-1)^{s_{j-1}}\sqrt{3}i}{2} \right\}.
 \end{aligned}$$

Let $P_{n_j} = \frac{(-1)^{s_j}(\sqrt{3}i)^{n_j} - (-1)^{s_j}(\sqrt{3}i)^{n_j+1} - (-1)^{s_{j-1}} - (-1)^{s_{j-1}}\sqrt{3}i}{2}$. If $n_j = 2m_j (\geq 2)$ for a positive integer m_j , then

$$\begin{aligned}
 P_{n_j} &= \frac{(-1)^{s_j}(-3)^{m_j} - (-1)^{s_j}(-3)^{m_j}(\sqrt{3}i) - (-1)^{s_{j-1}} - (-1)^{s_{j-1}}\sqrt{3}i}{2} \\
 &= \frac{(-1)^{s_j}(-3)^{m_j} - (-1)^{s_{j-1}}}{2} - \frac{(-1)^{s_j}(-3)^{m_j} + (-1)^{s_{j-1}}}{2} \sqrt{3}i.
 \end{aligned}$$

In this case, $|P_{n_j}|^2 = (-3)^{m_j}((-3)^{m_j} + (-1)^{s_j+s_{j-1}}) + 1$. If $n_j = 2m_j + 1 (\geq 3)$ for a positive integer m_j , then

$$\begin{aligned}
 P_{n_j} &= \frac{(-1)^{s_j}(-3)^{m_j}(\sqrt{3}i) - (-1)^{s_j}(-3)^{m_j+1} - (-1)^{s_{j-1}} - (-1)^{s_{j-1}}\sqrt{3}i}{2} \\
 &= -\frac{(-1)^{s_j}(-3)^{m_j+1} + (-1)^{s_{j-1}}}{2} + \frac{(-1)^{s_j}(-3)^{m_j} - (-1)^{s_{j-1}}}{2} \sqrt{3}i.
 \end{aligned}$$

In this case, $|P_{n_j}|^2 = (-3)^{m_j+1}(-(-3)^{m_j} + (-1)^{s_j+s_{j-1}}) + 1$.

In both cases, $|P_{n_j}|^2$ cannot be equal to 3^d for any non-negative integer d . Then we know that $\omega^{-1}V(K_j; \omega) - \omega V(K_{j-1}; \omega)$ cannot have a form $\pm(\sqrt{-3})^d$ for any non-negative integer d . This contradicts to Theorem 1.1.

In the case when K_{j-1} is obtained from K_j by a negative crossing change, by using the same argument, we know that $\omega^{-1}V(K_{j-1}; \omega) - \omega V(K_j; \omega)$ also cannot have the form $\pm(\sqrt{-3})^d$ for any non-negative integer d if

$n_j \geq 2$. Thus we know that $n_j \leq 1$ for any j ($1 \leq j \leq d_1 - d_2$). Then since $\sum_{p=1}^{d_1-d_2} n_p = \sum_{p=1}^{d_1-d_2} (\ell_p - \ell_{p-1}) = d_1 - d_2$,

$1 \geq n_k = d_1 - d_2 - \sum_{p=1, p \neq k}^{d_1-d_2} n_p \geq 1$ for any integer k ($1 \leq k \leq d_1 - d_2$). Therefore $n_k = 1$ for any integer k ($1 \leq k \leq d_1 - d_2$).

In the case when $n_j = 1$ ($1 \leq j \leq d_1 - d_2$), we know that $P = \frac{3(-1)^{s_j} - (-1)^{s_{j-1}}}{2} + \frac{(-1)^{s_j} - (-1)^{s_{j-1}}}{2} \sqrt{3}i$.

Then $|P|^2 = 4 - 3(-1)^{s_j+s_{j-1}} = 4 - 3(-1)^{s_j-s_{j-1}} = 7$ if $s_j - s_{j-1} \equiv 1 \pmod{2}$. Thus $\omega^{-1}V(K_j; \omega) - \omega V(K_{j-1}; \omega)$ does not have the form $\pm(\sqrt{-3})^d$ for any non-negative integer d if $s_j - s_{j-1} \equiv 1 \pmod{2}$ by the above calculation.

We also know that $\omega^{-1}V(K_{j-1}; \omega) - \omega V(K_j; \omega)$ does not have a form $\pm(\sqrt{-3})^d$ for any non-negative integer d if $s_j - s_{j-1} \equiv 0 \pmod{2}$ by using the same argument. (In this case, $|P|^2 = 4 + 3(-1)^{s_j-s_{j-1}}$.)

Therefore, we know that if K_{j-1} is obtained from K_j by a positive crossing change then $s_j - s_{j-1}$ is even (i.e. $(-1)^{s_j} = (-1)^{s_{j-1}}$), and if K_{i-1} is obtained from K_i by a negative crossing change, then $s_j - s_{j-1}$ is odd (i.e. $(-1)^{s_j} = -(-1)^{s_{j-1}}$). Now we have $(-1)^{s_1} = (-1)^r(-1)^{s_2}$, where r is the number of the negative crossing changes in the sequence of crossing changes of knots $K_{d_1-d_2}, \dots, K_1$. Then $(-1)^{r-(s_1-s_2)} = 1$, and hence $r \equiv s_1 - s_2 \pmod{2}$.

Proof of Theorem 1.4. We show the theorem by an induction with respect to the Gordian distance. Suppose that $d_G(K, K') = 1$. By an argument in the proof of Theorem 4.1 [13], $Q(K, \delta)/Q(K', \delta) \in \{\pm 1, -(\sqrt{5})^{\pm 1}\}$ if K' is obtained from K by a single crossing change. Thus if $Q(K, \delta)/Q(K', \delta) = -(\sqrt{5})^k$, then $|k| = 0$. Therefore $d_G(K, K') = 1 > 0 = |k|$. Now we assume that the result holds in the case when $d_G(K, K') = m - 1$ for a positive integer $m \geq 2$. Suppose that $d_G(K, K') = m \geq 1$ and $Q(K, \delta)/Q(K', \delta) = -(\sqrt{5})^{k'}$ for some

integer k' . Then there exist $m + 1$ knots K_0, K_1, \dots, K_m such that $K = K_0$, $K' = K_m$ and $d_G(K_i, K_{i+1}) = 1$ ($0 \leq i \leq m - 1$). Note that $Q(K, \delta)/Q(K', \delta) = Q(K_0, \delta)/Q(K_{m-1}, \delta) \times Q(K_{m-1}, \delta)/Q(K_m, \delta) = -(-\sqrt{5})^{k'}$. If $Q(K_0, \delta)/Q(K_{m-1}, \delta) = -(-\sqrt{5})^{\bar{k}}$ for some integer \bar{k} , then we have $|\bar{k}| < m - 1$ and $Q(K_{m-1}, \delta)/Q(K_m, \delta) = -(-\sqrt{5})^{k'}/-(-\sqrt{5})^{\bar{k}} = (-\sqrt{5})^{k'-\bar{k}} \in \{\pm 1, -(\sqrt{5})^{\pm 1}\}$ since $d_G(K_0, K_{m-1}) = m - 1$ and $d_G(K_{m-1}, K_m) = 1$. Then we have $|k' - \bar{k}| \leq 1$, and hence $|k'| \leq |k' - \bar{k}| + |\bar{k}| < m = d_G(K_0, K_m)$. If $Q(K_0, \delta)/Q(K_{m-1}, \delta) \neq -(-\sqrt{5})^{\bar{k}}$ for any integer \bar{k} , that is, $Q(K_0, \delta)/Q(K_{m-1}, \delta) = (-\sqrt{5})^{\bar{k}}$ for some integer \bar{k} , then $d_G(K_0, K_{m-1}) \geq |\bar{k}|$ and $Q(K_{m-1}, \delta)/Q(K_m, \delta) = -(-\sqrt{5})^{k'}/(-\sqrt{5})^{\bar{k}} = -(-\sqrt{5})^{k'-\bar{k}} \in \{\pm 1, -(\sqrt{5})^{\pm 1}\}$. Thus we have $k' - \bar{k} = 0$. Therefore $|k'| \leq |k' - \bar{k}| + |\bar{k}| \leq d_G(K_0, K_{m-1}) = m - 1 < m = d_G(K_0, K_m)$. This completes the proof.

Proof of Theorem 1.6. If $s(K, K') \geq 0$, then we need to perform at least $s(K, K')$ positive crossing changes to obtain K' from K by Theorem 1.5(4). Thus we have $d_{+-}(K, K') \geq s(K, K')$. If $s(K, K') \leq 0$, then, by using the same idea, we have $d_{+-}(K, K') = d_{-+}(K', K) \geq s(K', K) = -s(K, K')$.

3. EXAMPLES

For a knot K , K^* denotes the mirror image of K . In this section, we detect $d_G(3_1 \# 4_1, 5_1)$, $d_G(3_1 \# 3_1, 4_1)$, $d_G(3_1^* \# 3_1^*, 4_1)$ and $d_G(3_1 \# 3_1^*, 7_3)$ which are unknown values in a table of Darcy and Sumners in [3] by using Theorems 1.2, 1.3, 1.4 and 1.6. We also detect $d_G(4_1 \# 4_1, 3_1)$, $d_G(4_1 \# 4_1, 3_1^*)$, $d_G(4_1 \# 4_1, 5_2)$, $d_G(4_1 \# 4_1, 5_2^*)$ and $d_G(4_1 \# 4_1, 6_3)$ which are unknown values in a table [2] of Darcy by using Theorem 1.4. Moreover we determine some other Gordian distances, by using Theorem 1.6, which cannot be obtained from Theorems 1.2, 1.3 and 1.4. (See Figures 3, 4, 5, 6 and 7 for a diagram of a knot A_b .)

Example 3.1.

(1) $d_G(3_1 \# 4_1, 5_1) = 2$.

(2) $d_{+-}(3_1 \# 4_1, 5_1) = 0$ and $d_{-+}(3_1 \# 4_1, 5_1) = 1$.

We prove (1) by using Theorems 1.2 and 1.5 as follows. It is easily see that $d_G(3_1 \# 4_1, 5_1) \leq 2$ since $d_G(0_1, 4_1) = 1$ and $d_G(3_1, 5_1) = 1$. Suppose that $3_1 \# 4_1$ is obtained from 5_1 by a positive crossing change. Then

$$\frac{\omega V(3_1 \# 4_1; \omega) - V(5_1; \omega)}{\omega - 1} = \frac{\omega(-\sqrt{3}i) - (-1)}{\omega - 1} = \frac{-1 - \omega(-\sqrt{3}i)}{1 - \omega} = \frac{-1 + \sqrt{3}i\omega}{\bar{\omega}} = \omega(\sqrt{3}i\omega - 1) = -2 - \sqrt{3}i.$$

This contradicts to Theorem 1.2. Suppose that 5_1 is obtained from $3_1 \# 4_1$ by a positive crossing change. Then by Theorem 1.5(4), we know that $s(3_1 \# 4_1) - s(5_1) \in \{0, 2\}$. On the other hand, $s(3_1 \# 4_1) = \sigma(3_1 \# 4_1) = 2$ and $s(5_1) = \sigma(5_1) = 4$ by Theorem 1.5(3). This is a contradiction. Therefore we have $d_G(3_1 \# 4_1, 5_1) \geq 2$. In fact, $d_G(3_1 \# 4_1, 5_1) \leq 2$ as shown in Figure 3, and hence $d_G(3_1 \# 4_1, 5_1) = 2$. We cannot use Theorem 1.4 to show this because $Q(3_1 \# 4_1, \delta) = Q(5_1, \delta) = \sqrt{5}$. We cannot also use Theorem 1.6 since $|s(3_1 \# 4_1, 5_1)| = |-1| = 1$. Next we prove (2) by Theorem 1.6. We know that $d_{+-}(3_1 \# 4_1, 5_1) = 0$ and $d_{-+}(3_1 \# 4_1, 5_1) \leq 1$ as shown in Figure 3. By Theorem 1.6, $d_{-+}(3_1 \# 4_1, 5_1) \geq -s(3_1 \# 4_1, 5_1) = 1$. Thus we have $d_{-+}(3_1 \# 4_1, 5_1) = 1$.

We can also prove (1) by using Theorems 1.3 and 1.6 as follows. Since $V(3_1 \# 4_1; \omega) = -\sqrt{-3}$ and $V(5_1; \omega) = -1$, if $d_G(3_1 \# 4_1, 5_1) = 1$, then $d_{-+}(3_1 \# 4_1, 5_1) \equiv 0 \pmod{2}$ by Theorems 1.3, and hence $d_{-+}(3_1 \# 4_1, 5_1) = 0$. However, as shown above, $d_{-+}(3_1 \# 4_1, 5_1) = 1$ by Theorem 1.6. This is a contradiction.

Example 3.2.

(1) $d_G(3_1 \# 3_1, 4_1) = d_G(3_1^* \# 3_1^*, 4_1) = 3$.

(2) $d_{+-}(3_1 \# 3_1, 4_1) = d_{-+}(3_1^* \# 3_1^*, 4_1) = 2$.

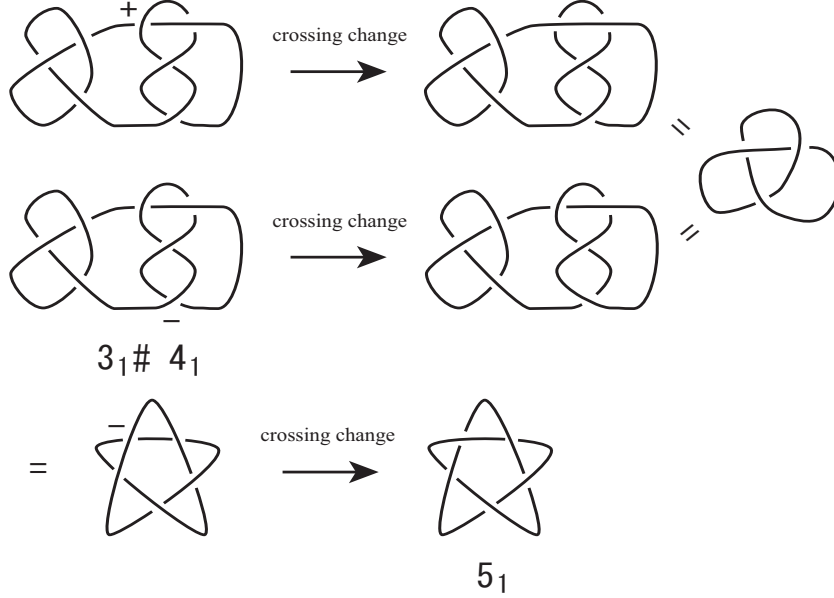


FIGURE 3

(3) $d_{-+}(3_1 \# 3_1, 4_1) = d_{+-}(3_1^* \# 3_1^*, 4_1) = 0$.

By Theorem 1.6, $d_{-+}(3_1^* \# 3_1^*, 4_1) \geq -s(3_1^* \# 3_1^*, 4_1) = -\frac{s(3_1^* \# 3_1^*) - s(4_1)}{2} = 2$ since $s(3_1^* \# 3_1^*) = -4$ and $s(4_1) = 0$. Suppose that $d_G(3_1^* \# 3_1^*, 4_1) = 2$. By Theorem 1.3, $d_{-+}(3_1^* \# 3_1^*, 4_1) \equiv 1 \pmod{2}$ since $V(3_1^* \# 3_1^*; \omega) = (-1)^0(\sqrt{-3})^2$ and $V(4_1; \omega) = (-1)^1(\sqrt{-3})^0$. Then we have $d_{-+}(3_1^* \# 3_1^*, 4_1) = 1$. This is a contradiction. Thus we know that $d_G(3_1^* \# 3_1^*, 4_1) \geq 3$. As shown in Figure 4, we know that $d_G(3_1^* \# 3_1^*, 4_1) \leq 3$, $d_{-+}(3_1^* \# 3_1^*, 4_1) \leq 2$ and $d_{+-}(3_1^* \# 3_1^*, 4_1) = 0$, and hence $d_G(3_1^* \# 3_1^*, 4_1) = 3$, $d_{-+}(3_1^* \# 3_1^*, 4_1) = 2$. Since $d_G(K, K') = d_G(K^*, (K')^*)$, $d_{-+}(K, K') = d_{+-}(K^*, (K')^*)$ and $d_{+-}(K, K') = d_{-+}(K^*, (K')^*)$ for any two knots K and K' , we have $d_G(3_1 \# 3_1, 4_1) = 3$, $d_{+-}(3_1 \# 3_1, 4_1) = 2$ and $d_{-+}(3_1 \# 3_1, 4_1) = 0$.

Example 3.3.

(1) $d_G(3_1 \# 3_1^*, 7_3) = 3$.

(2) $d_{+-}(3_1 \# 3_1^*, 7_3) = 0$.

We can obtain the above values by using the same argument as in Example 3.2. In fact, $d_{-+}(3_1 \# 3_1^*, 7_3) \geq 2$ by Theorem 1.6. On the other hand, we have $d_{-+}(3_1 \# 3_1^*, 7_3) = 1$ by Theorem 1.3 since $V(3_1 \# 3_1^*; \omega) = (-1)(\sqrt{-3})^2$ and $V(7_3; \omega) = (-1)^0(\sqrt{-3})^0$ if we assume that $d_G(3_1 \# 3_1^*, 7_3) = 2$. Thus $d_G(3_1 \# 3_1^*, 7_3) \geq 3$ and we obtain $d_G(3_1 \# 3_1^*, 7_3) \leq 3$ and $d_{+-}(3_1 \# 3_1^*, 7_3) = 0$ as shown in Figure 5, and hence $d_G(3_1 \# 3_1^*, 7_3) = 3$. However we cannot detect $d_{-+}(3_1 \# 3_1^*, 7_3)$. (This value is larger than or equal to 2 by the above argument.)

Remark. Miyazawa has given a criterion of the Gordian distance of knots by using the HOMFLY polynomial in [8]. The value $d_G(3_1 \# 4_1, 5_1)$ is also obtained by making use of the result. On the other hand, $d_G(3_1 \# 3_1, 4_1)$, $d_G(3_1^* \# 3_1^*, 4_1)$ and $d_G(3_1 \# 3_1^*, 7_3)$ cannot be obtained from it.

Example 3.4.

(1) $d_G(4_1 \# 4_1, 3_1) = d_G(4_1 \# 4_1, 3_1^*) = 3$;

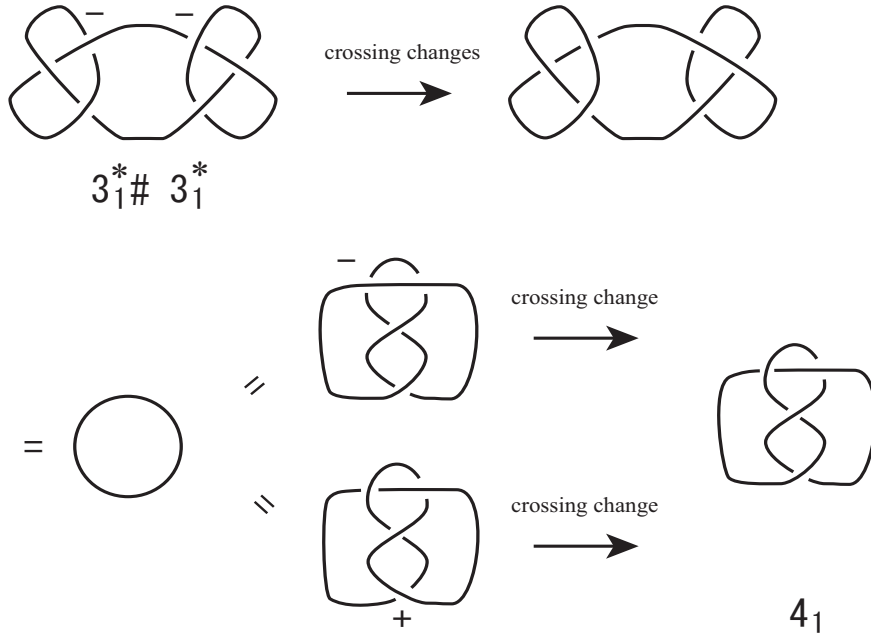


FIGURE 4

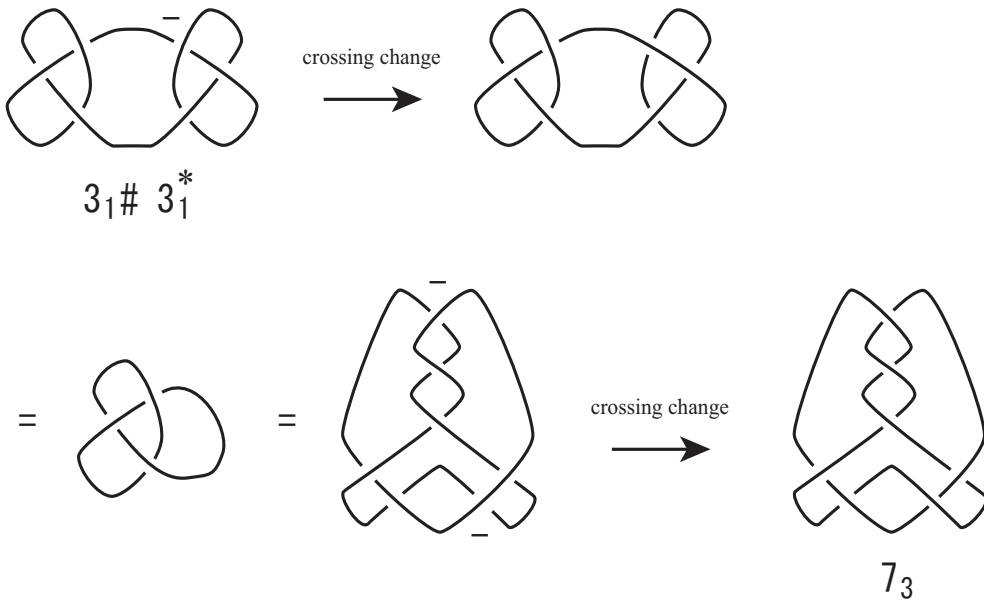


FIGURE 5

(2) $d_G(4_1 \# 4_1, 5_2) = d_G(4_1 \# 4_1, 5_2^*) = 3;$

(3) $d_G(4_1 \# 4_1, 6_3) = 3.$

We know that $d_G(4_1 \# 4_1, 3_1)$, $d_G(4_1 \# 4_1, 3_1^*)$, $d_G(4_1 \# 4_1, 5_2)$, $d_G(4_1 \# 4_1, 5_2^*)$ and $d_G(4_1 \# 4_1, 6_3)$ are less than or equal

to 3 since $d_G(3_1, 0_1) = d_G(4_1, 0_1) = d_G(5_2, 0_1) = d_G(6_3, 0_1) = 1$. Then we know that the equations (1), (2) and (3) are obtained from Theorem 1.4 since $Q(3_1; \delta) = Q(5_2; \delta) = Q(6_3; \delta) = -1$ and $Q(4_1; \delta) = -\sqrt{5}$. These numbers are undecided in the table of I. Darcy [2]. We cannot detect them by using Theorem 1.2, 1.3 because of the values of the Jones polynomials and we cannot also use Theorems 1.6 to detect them since $s(4_1 \# 4_1) = \sigma(4_1 \# 4_1) = 0$, $s(3_1)(\text{or } |s(3_1^*)|) = \sigma(3_1)(\text{or } |\sigma(3_1^*)|) = 2$, $s(5_2)(\text{ or } |s(5_2^*)|) = \sigma(5_2)(\text{ or } |\sigma(5_2^*)|) = 2$ and $s(6_1) = \sigma(6_1) = 0$.

Example 3.5. Let Y be a knot in the set $\{3_1, 5_2, 6_2, 7_2, 7_6, 8_7, 8_{11}, 8_{14}\}$ (see Figure 7). Let $X = Y^*$. Then

- (1) $d_G(X, 10_{145}^*) = d_{-+}(X, 10_{145}^*) = 3$, $d_{+-}(X, 10_{145}^*) = 0$,
- (2) $d_G(X, 10_{154}) = d_{-+}(X, 10_{154}) = 4$, $d_{+-}(X, 10_{154}) = 0$,
- (3) $d_G(X, 10_{161}^*) = d_{-+}(X, 10_{161}^*) = 4$, $d_{+-}(X, 10_{161}^*) = 0$.

It is easily see that $d_G(X, 0_1) \leq 1$ and $d_G(10_{145}^*, 0_1) \leq 2$, $d_G(10_{154}, 0_1) \leq 3$ and $d_G(10_{161}^*, 0_1) \leq 3$. (If we change signed crossings in Figures 6 and 7, we obtain trivial knots.) Then we know that $d_G(X, 10_{145}^*) \leq 3$, $d_G(X, 10_{154}) \leq 4$ and $d_G(X, 10_{161}^*) \leq 4$. Now we show that $d_{-+}(X, 10_{145}^*) \geq 3$, $d_{-+}(X, 10_{154}) \geq 4$ and $d_{-+}(X, 10_{161}^*) \geq 4$. We can show that 10_{145}^* , 10_{154} and 10_{161}^* as in Figure 3 are quasipositive knots [11][12][14]. In fact, 10_{145}^* and 10_{161}^* are the closure of quasipositive braids

$$\sigma_2^2 \sigma_3 \sigma_1 (\sigma_2^{-1} \sigma_1 \sigma_2) (\sigma_3^{-1} \sigma_2 \sigma_3) \sigma_1 \text{ and } (\sigma_1 \sigma_2 \sigma_1^{-1}) \sigma_2 \sigma_1^2 \sigma_2^3 \sigma_1$$

respectively and 10_{154} is a positive knot with a positive diagram consists of 10 crossings and 5 Seifert circles as described in Figure 6.

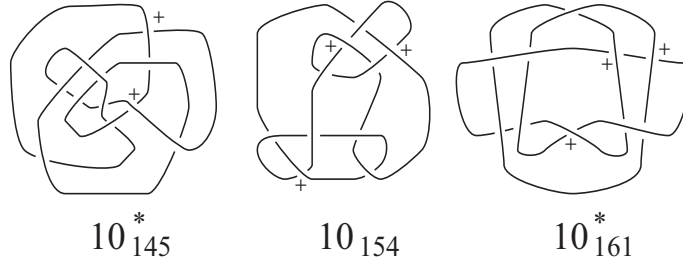


FIGURE 6

Then by using a result of Shumakovitch [14], we know that $s(10_{145}^*) = 4$ and $s(10_{154}) = s(10_{161}^*) = 6$. On the other hand, $s(X) = -s(Y) = -\sigma(X) = -2$ since each X is an alternating knot. (For each knot Y , by a crossing change of the positive crossing signed in Figure 7, we have a trivial knot. Thus by Theorem 1.5(4), we know that $s(Y) \geq 0$.) Thus by using Theorem 1.6, we have $d_{-+}(X, 10_{145}^*) \geq -\frac{s(X) - s(10_{145}^*)}{2} = 3$, $d_{-+}(X, 10_{154}) \geq -\frac{s(X) - s(10_{154})}{2} = 4$ and $d_{-+}(X, 10_{161}^*) \geq -\frac{s(X) - s(10_{161}^*)}{2} = 4$. Thus we have $d_{-+}(X, 10_{145}^*) = d_G(X, 10_{145}^*) = 3$, $d_{-+}(X, 10_{154}) = d_G(X, 10_{154}) = 4$ and $d_{-+}(X, 10_{161}^*) = d_G(X, 10_{161}^*) = 4$, and hence $d_{+-}(X, 10_{145}^*) = d_{+-}(X, 10_{154}) = d_{+-}(X, 10_{161}^*) = 0$. However we cannot use Theorem 1.4 to detect them because $Q(10_{145}^*; \delta) = Q(10_{154}; \delta) = -1$ and $Q(10_{161}^*; \delta) = \sqrt{5}$. (See Figure 8 for a value of $Q(X; \delta)$.)

Here we give the following problem.

Problem. For any four knots K_0, K_1, K_2, K_3 , does the next equality hold?

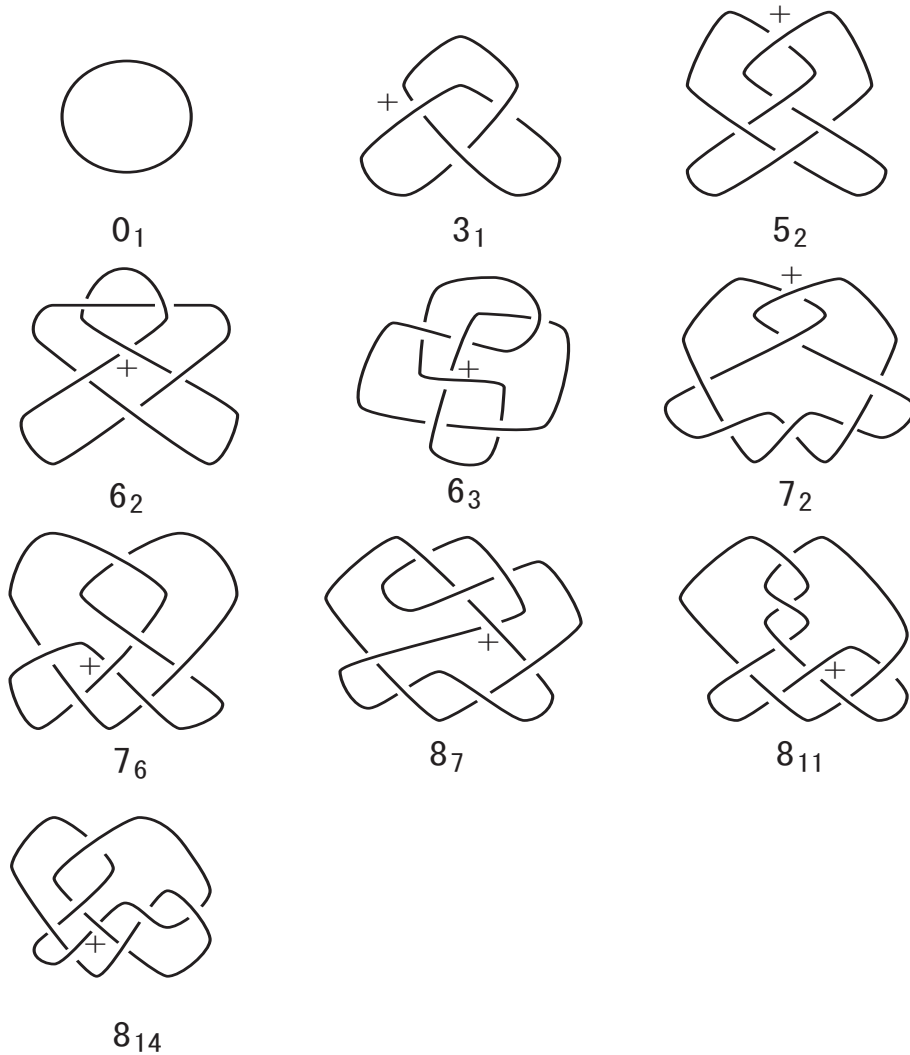


FIGURE 7

$$d_G(K_0 \# K_1, K_2 \# K_3) = \min\{d_G(K_0, K_2) + d_G(K_1, K_3), d_G(K_0, K_3) + d_G(K_1, K_2)\}.$$

In the first example, $d_G(3_1, 0_1) = d_G(3_1, 5_1) = d_G(4_1, 0_1) = 1$. Thus we have

$$d_G(3_1 \# 4_1, 0_1 \# 5_1) = \min\{d_G(3_1, 0_1) + d_G(4_1, 5_1), d_G(3_1, 5_1) + d_G(4_1, 0_1)\} = 2$$

even if we cannot detect $d_G(4_1, 5_1)$. (In fact, we know that $d_G(4_1, 5_1) \geq 2$ by making use of Theorem 3.1.)

We list signatures, special values of the Jones polynomial and the Q polynomial for knots with up to 8 crossings (Figure 8.) (Here we set $a = \sqrt{-3}$ and $b = \sqrt{5}$.)

K	σ	$V(K; \omega)$	$Q(K; \delta)$	K	σ	$V(K; \omega)$	$Q(K; \delta)$
31	2	a	-1	85	4	a	1
41	0	-1	$-b$	86	2	1	-1
51	4	-1	b	87	2	1	-1
52	2	-1	-1	88	2	1	b
61	0	$-a$	1	89	0	1	$-b$
62	2	1	1	810	2	a	-1
63	0	1	-1	811	2	a	-1
71	-6	-1	-1	812	0	-1	1
72	2	1	1	813	0	-1	1
73	4	1	-1	814	2	-1	1
74	2	$-a$	b	815	-4	a	-1
75	-4	-1	-1	816	2	1	b
76	2	-1	1	817	0	1	-1
77	0	$-a$	1	818	0	3	b
81	0	1	-1	819	6	$-a$	-1
82	-4	-1	-1	820	0	$-a$	1
83	0	-1	-1	821	2	a	$-b$
84	2	-1	1				

FIGURE 8

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